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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/087,234	03/01/2002	Ajay Kumar	5681-11700

CONFIRMATION NO. 6950

FORMALITIES LETTER



Robert C. Kowert  
Conley, Rose, & Tayon, P.C.  
P.O. Box 398  
Austin, TX 78767

Date Mailed: 03/28/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/02/2002 BABRAHA1 00000020 10087234

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 CH
02 FC:105	130.00 CH
03 FC:103	306.00 CH
04 FC:102	336.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$642.
  - \$306 for 17 total claims over 20.
  - \$336 for 4 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1512.**

*A copy of this notice **MUST** be returned with the reply.*

Haimanot Tegbaru  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

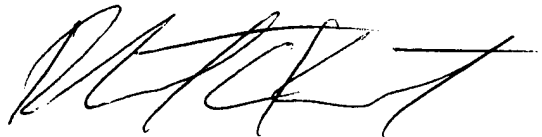


- ☒ An Assignment of the invention to Sun Microsystems, Inc.
- ☒ A combined Declaration and Power of Attorney executed by the inventor(s)

- ☒ A Fee Authorization Form for \$1,552.00 to cover:
  - filing fee for large entity (\$740.00)
  - surcharge for late filing of oath or declaration for large entity (\$130.00)
  - assignment fee (\$40.00)
  - extra independent claims (\$336.00)
  - extra dependent claims (\$306.00)
- ☒ A copy of Notice to File Missing Parts of Application Filing Date Granted
- ☒ A return postcard to acknowledge receipt of these materials. Please stamp and return this postcard to the undersigned.

If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5681-11700/RCK.

Respectfully submitted,



Robert C. Kowert  
Reg. No. 39,255  
Attorney for Applicants

Conley, Rose & Tayon, P.C.  
P.O. Box 398  
Austin, Texas 78767-0398  
Ph: (512) 476-1400

Date: April 19, 2002



ORIGINAL

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/087,234

Filed: March 1, 2002

Inventors:

Ajay Kumar

Hanumanthu Rao Susarla

Prakash Khemani

Title: Lock Mechanism for a  
Distributed Data System

§ Examiner: Unknown  
§ Group/Art Unit: 2171  
§ Atty. Dkt. No: 5681-11700  
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on the date indicated below.

Robert C. Kowert

Printed Name

Signature

April 19, 2002

Date

**FEE AUTHORIZATION**

Commissioner for Patents  
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fee to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5681-11700/RCK:

Fee: Filing Fee Amount: \$740.00

Fee: Miss. Parts Fee Amount: \$130.00

Fee: Excess Claims Amount: \$642.00

Attorney Docket No.: 5681-11700

Attorney Docket No.: 5681-11700

Attorney Docket No.: 5681-11700

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the abovementioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5681-11700/RCK.

Respectfully submitted,

Signature of Robert C. Kowert

Robert C. Kowert

Reg. No. 39,255

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Date: April 19, 2002